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## COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

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Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the Office of Public Records in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Name of Traveler:
Employing Office/Committee:
Private Sponsor(s) (List all):
June 29-July 1, 2017 Travel Date(s):
Description/Title of Attached Forms: PSTCF (final version)
Purpose of Amendment (describe the reason for amending original submission):
PSTCF will be added to initial post-travel submission.

8/3/17

(Signature of Traveler)

## PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

l.	The Aspen Institute, Inc. Sponsor(s) of the trip (please list all sponsors):
2.	Description of the trip:  Attend and speak at the Aspen Ideas Festival, the annual flagship conference event of The Aspen Institute
3.	Dates of travel: June 29, 2017- July 1, 2017
4.	Place of travel: Aspen, Colorado
5.	Name and title of Senate invitees: Senator Mark Warner
6.	<ul> <li>I certify that the trip fits one of the following categories:</li> <li>         (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.</li></ul>
7.	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.  - AND -
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8.	I certify that:  The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement.  - AND -
	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

	<ul> <li>(A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member officer, or employee on any segment of the trip.         <ul> <li>OR -</li> </ul> </li> <li>(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two</li> </ul>				
	(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two				
ı	overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).  - OR -				
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.				
I	USE ONLY IF YOU CHECKED QUESTION 9(B)  If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:				
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11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.				
12. B	Briefly describe the role of each sponsor in organizing and conducting the trip:				
7	The Aspen Institute hosts and is the sole sponsor of the Aspen Ideas Festival held annually at its Aspen,				
(	Colorado campus and conference center. The Institute plans, organizes and conducts the Aspen Ideas				
F	Festival, including the programming, production and speakers.				
_	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission: The Aspen Institute is an educational and policy studies non-profit organization. Its mission is to foster				
<u> </u>	eadership based on enduring values and provide a nonpartisan forum for the exchange of ideas and				
ti	houghtful dialogue, which is the central tenet of the Aspen Ideas Festival.				
14. B	Briefly describe each sponsor's prior history of sponsoring congressional trips:				
	The Aspen Institute has a nearly forty-year history of conducting non-partisan educational forums, which				
ir	nclude congressional trips.				
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The Aspen Institute holds numerous educational activities annually, including educational briefings,						
roundtables, forums, conferences and other convenings to provide a nonpartisan forum for the						
exchange of ideas a	nd dialogue.					
Total Expenses for Each Participant:						
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses		
Good Faith estimate  Actual Amounts	\$1250 (one-way coach airfare)	N/A	Breakfast and lunch available as included in conference registration pass; no dinner(s) provided	\$2000 conferent registration pass (waived for speakers), includes \$190 p day mtg packat (includes breakfast, lunch snacks)		
congressional partici	pation: an event that is arranged o	•		onal participation.		
A) The trip involves a	•	or organized with		-		
A) The trip involves a Reason for selecting	n event that is arranged o	or organized with	out regard to congression	nal participation.		
A) The trip involves a Reason for selecting	the location of the event of	or organized with	out regard to congression	nal participation.		
Reason for selecting The Aspen Institute's Ideas Festival.  Name and location of	the location of the event of campus and conference	or organized without trip center in Aspen,	out regard to congression	nal participation.		
Congressional partici A) The trip involves a Reason for selecting The Aspen Institute's Ideas Festival.	the location of the event of campus and conference	or organized without trip center in Aspen,	out regard to congression	nal participation.		
Reason for selecting The Aspen Institute's Ideas Festival.  Name and location of Not providing lodging	the location of the event of campus and conference	or trip center in Aspen,	out regard to congression	nal participation.		

11.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:				
	Not providing lodging. The conference registration pass is the all-inclusive ticket price to attend the				
	Aspen Ideas Festival, however, the price is waived for speakers speaking at Ideas Festival. The \$190				
	meeting package fee, which includes meals and more, exceeds the meals per diem rate.				
2.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:				
	One-way coach airfare.				
<b>3</b> .	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).  List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:				
	N/A				
5.	I hereby certify that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):  Signature of Travel Sponsor:				
	Name and Title: Elliot Gerson, Executive Vice President, Public & Policy Programs				
	Name of Organization: The Aspen Institute, Inc.				
	Address: One Dupont Circle, NW Suite 700 Washington, DC 20036				
	Telephone Number: 202-736-5859 (Lisa Jones, Deputy General Counsel)				
	Fax Number: 202-467-0790				
	E-mail Address: lisa.jones@aspeninst.org				



Attachment: The Aspen Institute, Inc.
Private Sponsor Travel Certification Form

16.

Other Expenses: The \$2000 conference registration pass is the all-inclusive ticket price for a member of the public to purchase an individual ticket to attend the Aspen Ideas Festival; however, the registration pass price is waived for all speakers attending the Festival. The registration pass price includes a per day meeting package cost of \$190 which includes Aspen Meadows Resort conference hosting fees, facilities setup and breakdown, IT, staffing, enhanced security, food and non-alcoholic refreshments for breakfast, lunch, snacks, reception. The registration pass price also includes facilities costs for non-Aspen Meadows Resort venues utilized during Aspen Ideas Festival and shuttle service to and from such venues and additional equipment rental (tents, chairs).

21. The \$190 daily meeting package rate, which includes meals, exceeds the per diem rate for Pitkin County, Colorado. Meal expenses (breakfast, lunch, snacks) are included in the per day meeting cost of \$190; this was the negotiated per day meeting rate for the Aspen Ideas Festival to be held at the Aspen Meadows Resort. The rate was negotiated for all participants of the Festival, without regard to congressional participation; all participants (attendees, speakers) to the Festival are offered the same meals at the Meadows.